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SAK-5286

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Masamichi ISHIHARA, et al

Serial No.: not yet assigned

Filed:

April 28, 2006

For:

SEMICONDUCTOR DEVICE AND PROCESS FOR FABRICATING

THE SAME

Group:

not yet assigned

Examiner:

not yet assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 & 1.98

MS Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 April 28, 2006

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted with the new application.

Although some of the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR §1.98(a)(3) for a concise explanation of the relevance is satisfied by the discussion of these documents in the specification.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C., Deposit Account No. 50-1417 (SAK-5286) please credit any excess fees to such deposit account.

Respectfully submitted,

JRM/nac (703) 684-1120 John K. Mattingly Registration No. 30,29\$

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.

Sheet 1 of 1

| Form PTO- 1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY, DKT. NO. SAK-5286 | serial no. NOT YET ASSIGNED 10/577863 |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | APPLICANT Masamichi ISHIHARA, et al | |
| | | FILING DATE April 28, 2006 | GROUP Not Yet Assigned |
| (Use s | several sheets if necessary) | • | |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date |
|---------------------|--------------------|------|------|-------|----------|-------------|
| AA | | | | | | |
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FOREIGN PATENT DOCUMENTS

| YC | Document Number | Date | | Class | Subclass | Abstract | |
|---------------------|--------------------|-------|---------|----------|----------|----------|----------|
| Examiner Initial | | | Country | | | Yes | No |
| AM | 10-223833 | 08/98 | JP | | | X | |
| AN | 2003-110084 | 4/03 | JP | | | X | |
| AO | 10-027824 | 01/98 | JP | | | X | <u> </u> |
| AP | 2003-309221 | 10/03 | JP | | | X | |
| AQ | 2003-046057 | 02/03 | JP | | | <u> </u> | |
| AR | | 09/01 | JP | ļ | | X | <u> </u> |
| AS | | | | <u> </u> | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| OTHER | DOC | UNIENTS (Hickory) - Nicolary of Japan Research Reports of Materials Research | | |
|---------|-----|--|--|--|
| | AU | The Institute of Electrical Engineers of Japan, Research Reports of Materials Research Society, Vol. EFM-02-6, No. 1-8, P.31-35. | | |
| | AV | Journal of the Surface Finishing Society of Japan, Vol. 52, No. 7, 2001, P.479-483. | | |
| | AW | | | |
| | AX | | | |
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